Global Standards
Supporting Responsible Supply Chains

Business Advantage
• Tools to meet customer requirements
• Enhance risk management practices
• Demonstrate leadership in responsible sourcing

Focus Areas
• Assessment Standards for 3TG and Cobalt apply a management-system approach and cover a global scope
• Cobalt due diligence toolkit including Cobalt Refiner Assessments and Cobalt Reporting Template
• Risk Readiness Assessment platform that covers 32 issue areas and 22 metals/minerals for producers and processors to assess and communicate their risk management performance
• Facilitated engagement between downstream industries and upstream producers
• Sensing and prioritization process to identify salient risks in mineral supply chains
• Country of origin and supply chain risk data
• Publicly available grievance mechanism and platform to track reported issues surrounding supply chain actors
• Free technical and financial support for preparation and assessment costs

Alignment with Other Systems
• Alignment with the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas
• Alignment with partner programs to support responsible sourcing
• Cross-recognition agreements with other assessment programs

Responsible Minerals Initiative: Promoting Responsible Business Practices

The Responsible Minerals Initiative (RMI) provides companies with tools and resources to make sourcing decisions that improve regulatory compliance and support responsible sourcing of gold, tin, tantalum, tungsten and cobalt from conflict-affected and high-risk areas. With over 380 member companies, we work towards a future in which businesses contribute positively to the fostering of peace and stability in Conflict-Affected and High Risk Areas globally.

What We Do

Resources and Training
Tools such as the Responsible Minerals Assurance Process assessment toolkit, Minerals Reporting Templates, Risk Readiness Assessment, Smelter Database, Country Risk Map, Grievance Platform and e-Learning Academy help companies improve their risk-management efforts, meet customer expectations, and comply with regulatory requirements.

Members contribute their perspectives to the development of new tools and resources including due diligence white papers, case studies, and training. Companies gain access to best practices, insight and a like-minded community of industry professionals and issue experts for peer-to-peer exchanges.

Free online and in-person training classes held globally for members, auditors, smelters and refiners, mineral producers, and other stakeholders.

Outreach & Recruitment
We facilitate engagement with stakeholder groups, including governments and multilateral institutions, civil society organizations, NGOs, industry bodies, and investor groups, to increase alignment and cohesion between programs and mobilize necessary resources and expertise to drive best practices.
Third-Party Assessments

RMI member companies can engage with smelters and refiners and communicate the benefits of participating in the Responsible Minerals Assurance Process (RMAP). RMAP is an independent third-party assessment of management systems and sourcing practices to validate compliance with RMAP standards, the OECD Due Diligence Guidance and national regulations, such as EU Conflict Minerals requirements and the U.S. Dodd Frank Act, Sec. 1502. The assessment employs a risk-based approach to assess processes and implementation for responsible mineral procurement.

RMI also offers the Downstream Assessment Program (DAP) for traders, manufactures, processors, operating downstream of smelters/refiners to demonstrate OECD Alignment and to help meet EU Conflict Minerals rule for “union importers.”

Participation in the RMAP and DAP can help companies:
- Improve management systems
- Demonstrate progressive improvement over time
- Exhibit leadership in responsible sourcing and due diligence
- Meet customer expectations
- Meet regulatory requirements

Upstream Efforts

As part of its collaborative efforts, the RMI directly engages upstream partners, including producing-country governments, civil society, public private alliances, mineral producers, and assurance mechanisms. Our focus is on capacity building, funding and support of upstream organizations, risk assessment and mitigation, monitoring on-the-ground activities, and standard setting. The RMI’s Upstream Membership category provides a range of benefits exclusively for mining, smelting, and refining companies. Activities include:

- The RMI’s ASM workgroup, Mining Engagement Team, and mineral specific workgroups (cobalt, gold, mica)
- Investment in livelihood and vocational training programs
- Coordination and support for the ICGLR Regional Certification Mechanism
- Recognition of upstream schemes (ITSCI / BSP)
- Investment in ASM mine monitoring
- Support for the Public-Private Alliance for Responsible Minerals Trader (PPA) and European Partnership for Responsible Minerals (EPRM)

responsiblemineralsinitiative.org